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On 10-15-01

TOWNSEND and TOWNSEND and CREW LLP

By: Linda Sheffer

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**PATENT**  
Attorney Docket No.: 018781-004910US  
Client Ref. No.: T00-001-1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

SHAN *et al.*

Application No.: 09/848,990

Filed: May 3, 2001

For: TREATMENT OF  
HYPERTRIGLYCERIDEMIA AND  
OTHER CONDITIONS USING LXR  
MODULATORS

Examiner: Not yet assigned

Art Unit: 1645

**COMMUNICATION UNDER**

**37 C.F.R. §§ 1.821-1.825**

**AND**

**PRELIMINARY AMENDMENT**

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Box SEQUENCE

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the request to comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures, 37 C.F.R. §§ 1.821-1.825, that accompanied the Notice to File Missing Parts of Nonprovisional Application mailed July 13, 2001, Applicants submit herewith the required paper copy and computer readable copy of the Sequence Listing. Please amend the specification as follows.